



**Money
Laundering
in Canada
2023**

**21ST
ANNUAL**

**Navigating the Canadian
Compliance Management
Landscape**

**OCTOBER 2-3, 2023
OTTAWA, ONTARIO**

Money Laundering in Canada 2023

Join us for the 21st instalment of Canada's premier event in the field of money laundering compliance. Taking place in Ottawa, Ontario, the theme of Money Laundering in Canada 2023 is Navigating the Canadian Compliance Management Landscape.

Attend workshops designed to assist with many of the challenges faced by today's compliance professional. Explore the latest trends and best practices, led by experts from the public and private sectors.

Who Should Attend

- Banks
- Credit Unions
- Caisses Populaires
- Securities & Investment Dealers
- Mutual Fund Companies
- Precious Metal/Gem Dealers
- Credit Card Suppliers
- Life Insurers
- Foreign Exchange Dealers
- Money Service Businesses
- Law Enforcement
- Regulatory Officials
- Real Estate Developers
- ATM & SVC Providers
- Lawyers
- Accountants
- Real Estate Professionals
- Financial Planners
- Casinos & Gaming
- Trusts & Loans
- Security Officers
- Virtual Currency Exchanges/ Transfer Services

Conference Sponsors



Ottawa Is Yours

With the Lyon O-Train stop close by, The Hilton Homewood Suites is ideal for exploring downtown, catching a show at the National Arts Centre, or haggling a path through Byward Market Square.

Agenda at a Glance

	Sunday, October 1	
6:00 - 8:00 PM	Registration	

	Monday, October 2	
8:45 - 9:00 AM	Welcome and Opening Comments	
9:00 - 10:00 AM	Plenary A: Painting the Picture of Fraud Across Canada	PA
10:00 - 10:30 AM	Nutrition Break	
10:30 - 11:30 AM	Workshop One: Alerts and How to Manage Them	WK1
10:30 - 11:30 AM	Workshop Two: Dissecting the Role of the Board in AML/CTF Compliance Management	WK2
11:40 AM - 12:40 PM	Workshop Three: The Anatomy of a Money Laundering Investigation	WK3
12:40 - 1:40 PM	Lunch	
1:40 - 3:00 PM	Workshop Four: The Anatomy of a Money Laundering Prosecution	WK4
3:00 - 3:30 PM	Nutrition Break	
3:30 - 4:30 PM	Panel A: Ask the Consultant	Panel
4:45 - 6:00 PM	Networking Reception	

	Tuesday, October 3	
9:00 - 9:10 AM	Opening Comments	
9:10 - 10:10 AM	Plenary B: FINTRAC	PB
10:10 - 10:40 AM	Nutrition Break	
10:40 AM- 11:40 AM	Workshop Five: Lets Examine Terrorist Risks	WK5
10:40 AM- 11:40 AM	Workshop Six: Mortgage Participants and Armoured Car Couriers	WK6
11:40 - 12:40 PM	Lunch	
12:40 - 2:00 PM	Questions and Answers: Your Turn	Panel
2:00 - 2:30 PM	Nutrition Break	
2:30 - 3:30 PM	Workshop Seven: Demystifying the AMP Process	WK7
3:45 - 4:45 PM	Plenary C: The Last Word from FINTRAC	PC
4:45 - 5:00	Closing Comments	

*All times EST

Money Laundering in Canada 2023

October 2, 2023



PLENARY A

9:00 - 10:00 AM



Kelly Bradshaw
Accenture Consulting
Ottawa, Ontario

The variety and prevalence of fraud across Canada seems to grow exponentially. Traditional methods along with numerous new variations continue to fill the media. Citizens and businesses must be increasingly alert and recognize the signs to prevent being victimized. Kelly and Guy bring their extensive experience as current and former RCMP officers, examining the impacts of fraud in Canada and the trends they are seeing going forward.

WORKSHOP 1

10:30 - 11:30 AM

Alerts and How to Manage Them



Shellyna Lipic
AML Consultant
Steinbach, Manitoba

Reporting entities that use customer/transaction monitoring software quite often have to deal with alerts that are generated when a customer or a customer's transactions trigger some type of unusual/suspicious warning. These alerts require the attention of the compliance department to either rule out or affirm that something suspicious has occurred. Given the number of customers a reporting entity has and the number of transactions that customer base undertakes, the volume can be significant and place considerable burden on the compliance team when managing the alerts in a timely and effective manner. Drawing from her experiences as CAMLO for a major Canadian credit union, Shellyna Lipic will explore the compliance challenges and will share practices and solutions that have proven successful.

WORKSHOP 2

10:30 - 11:30 AM

Dissecting the Role of the Board in AML/CTF Compliance Management



Angelo Coppola
Globex 2000
Montreal, Quebec

Daniel Speirs,
Central1
Victoria, British Columbia

Jean-Francois Lefebvre
AML Consultant
Montreal, Quebec



The board of directors plays an important role in an institution's anti-money laundering program. It is entrusted with certain fiduciary obligations, including responsibility for the oversight of the organization's AML program. In order to execute their oversight of the AML program, directors must understand the organization's business, have sufficient knowledge of the specific AML risks posed to the business, and understand the regulatory requirements in which the business operates. Responsibility for the delivery of the AML program rests with the CAMLO. This workshop will provide insight and suggestions from the experience and perspectives of the panel members to assist the CAMLO with garnering the board's involvement and support, thus sending a strong message that AML compliance is a business-wide responsibility.

October 2, 2023



WORKSHOP 3

11:40 - 12:40 PM

The Anatomy of a Money Laundering Investigation

S/Sgt. Matthieu Boulanger
RCMP
Ottawa, Ontario



Money laundering investigations are complex and multi-faceted activities. Police, when participating in those inquiries, are confronted by a range of requirements necessary to achieve a successful investigation. Those who work the compliance side of money laundering control management are often unaware of the detail involved with a successful police investigation. The expectations are that money launderers are arrested, charged, tried, and convicted assisted by the myriad of reports that are sent to FINTRAC. Unfortunately, however, it is not always that easy and straightforward. Join S/Sgt. Boulanger as he walks the audience through the investigation process and explains how reporting entities can assist, when asked, with putting the best case forward.

WORKSHOP 4

1:40 - 3:00 PM

The Anatomy of a Money Laundering Prosecution

Fabienne Simon
Public Prosecution Service of Canada
Montreal, Quebec



All reporting entities that invest significant efforts and money in implementing and maintaining an AML program wish to see results, including prosecution of criminals and jail time where appropriate. This workshop will explain the characteristics of a money laundering prosecution and why they are long, complex, expensive, and sometimes fail. Fabienne Simon will discuss the trademarks of organized crime and white-collar crime prosecutions, the offences involved, as well as the different challenges encountered throughout the process.

PANEL

3:30 - 4:30 PM

Ask the Consultant

Angelo Coppola
Globex 2000
Montreal, Quebec

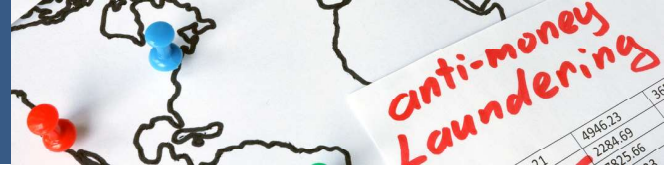
Jean-Francois Lefebvre
AML Consultant
Montreal, Quebec

Chris Walker
ABCsolutions
Ottawa, Ontario



CAMLO's are sometimes faced with questions, situations or decisions where the opinion, experience, advice or clarification from colleagues, other CAMLOs or consultants working in the compliance management space is sought out. These discussions are simply another instrument in the AML compliance management toolbox that can assist with managing the job both effectively and efficiently. This year the conference planning committee, based on the continuing success of the You Asked panel, thought it might be of value to offer conference delegates the opportunity to pose questions about ongoing compliance program management issues to a panel of consultants familiar with AML compliance application practices and issues. Join in with Angelo, Jean-Francois and Chris as they respond to compliance application questions from the audience.

October 3, 2023



PLENARY B

9:10 - 10:10 AM

FINTRAC



Sarah Paquet
FINTRAC Director and CEO
Ottawa, Ontario

ABCsolutions is pleased to announce that Sarah Paquet, FINTRAC's Director and CEO, will open day two of the Money Laundering in Canada 2023 Conference

WORKSHOP 5

10:40 - 11:40 PM

Let's Examine Terrorist Risks

Peter Aubin
FINTRAC
Ottawa, Ontario

FINTRAC published in December 2022 its Operational Alert: Terrorist Activity Financing. As stated in its Purpose, "... this Operational Alert is to support reporting entities in recognizing financial transactions suspected of being related to terrorist activity financing." Specifically, this Alert provided terrorist activity financing indicators derived from FINTRAC's analysis of disclosures related to terrorist activity financing. Speakers from FINTRAC will address the details of such indicators.

WORKSHOP 6

10:40 - 11:40 PM

Mortgage Participants and Armored Car Couriers: Requirements and Risks



Julian Arend
ABCsolutions
Toronto, Ontario

Chris Walker
ABCsolutions
Ottawa, Ontario



FINTRAC has announced the addition of two new business sectors to the list of reporting entities subject to the PCMLTFA, its Regulations, and FINTRAC Guidance requirements. Mortgage Participants include businesses operating as mortgage brokers, non FI-based mortgage lenders, and mortgage administrators. Armoured Car Couriers (ACC) have been added as a new category within the MSB sector. This session will look at both group's designated requirements and their unique regulatory nuances that impact on other reporting sectors currently providing them services. The risks associated with both groups will be discussed to assist service providers with planning mitigation practices to minimize impacts from possible money laundering.

October 3, 2023



PANEL B

12:45 - 2:00 PM

Questions and Answers: Your Turn

Angelo Coppola Globex 2000 Montreal, Quebec	Guillaume Giguère FINTRAC Ottawa, Ontario	Stacey Hopps FINTRAC Ottawa, Ontario	Jean-Francois Lefebvre AML Consultant Montreal, Quebec
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Join us once again for our annual discussion on all things related to FINTRAC. Take advantage of this opportunity to put your questions to the panel of FINTRAC officials, past and present. Sometimes they agree and other times not so much. Regardless, the learning that takes place always seems to keep the audience's attention and generates a laugh or two as panel members get down to the nitty gritty of how they individually view the question under discussion.

WORKSHOP 7

2:30 - 3:30 PM

Demystifying the AMP Process



Guillaume Giguère
FINTRAC
Ottawa, Ontario

In this session, Guillaume Giguère will explore the process that follows an examination. Starting with how FINTRAC officials determine the best outcome, he will demystify what happens if an examination is recommended for a penalty. Some of the questions covered during this session include: Who makes the recommendation? Where does the file go? How does FINTRAC determine the level of harm for noted deficiencies? And much more.

PLENARY C

3:45 - 4:45 PM

The Last Word From FINTRAC



Stacey Hopps
FINTRAC
Ottawa, Ontario

As has been her place in the last handful of conferences, Stacey Hopps returns to talk about the latest of the latest when it comes to FINTRAC's guidance, notifications, partnerships and proposed next steps in the AML/ATF compliance journey. We are always hopeful that she will make some new announcement of things to come out of Ottawa. And she is always open to take your questions so come prepared to ask. We can all learn from her response.

General Information



Hotel Information

The Hilton Homewood Suites will be the host venue for the 2023 instalment of Money Laundering in Canada. For 2023, we have arranged with the hotel a special room rates for our delegates. Specifically, the Homewood Suites is offering rates starting at \$219/night for a studio king suite, which includes a full breakfast buffet daily at the Tulip Cafe. Reserve early, as our hotel room allotment typically sells out and we fully expect that to be the case this year.

 [Booking link](#)

Registration

Money Laundering in Canada 2023 is designed to provide maximum value, with additional savings for early bird registrations, multiple registrations from the same organization, and members of the Canadian Anti-Money Laundering Institute (CAMLI). Money Laundering in Canada 2023 will take place in person. A live broadcast of the event will not be available.

- Full Registration: \$1700 + applicable taxes
- Extra Early Bird until March 31st: save 10% off Early Bird rates
- Early Bird until June 30th: save \$150 on registration rates
- CAMLI member discount (if applicable): save \$75 on Registration rates
- Fee for registering 3 or more delegates from the same organization at the same time AFTER June 30th, 2023: \$1550.00 + tax per registrant

Your Fee Includes:

All keynote and plenary sessions and workshops; nutrition breaks, lunches, and one reception. Speakers are subject to change without notice; updated speaker assignments can be found at www.moneylaundering.ca and in our

Continuing Education Credits

Participants who are eligible to earn credits for attending this conference should check with their credit-granting organization to confirm what credits are available. A participation certificate will be provided to all delegates.

Cancellations

All sales are final. No refunds will be provided, but delegate substitutions are permitted up to and including September 29, 2023.

Conference Materials and Language

All sessions will be conducted in English only. Participants are invited to collect their materials at the Registration Desk at any of the following times:

Sunday October 1, 2023 6:00 pm - 8:00 pm

Monday, October 2, 2023 7:45 am - 5:00 pm

Tuesday, October 3, 2023 7:45 am - 12:00 pm



Contact

For additional information on the program, please contact About Business Crime Solutions, Inc.; Telephone: 613-283-2862; Fax: 613-526-9384; e-mail: info@moneylaundering.ca



REGISTRATION FORM

Delegate Name

Title

CAMLI Member # (if applicable):

Company/Org.

Address

City

Province/State:

Postal/ZIP Code:

Telephone

E-Mail:

Prices are per delegate and include 13% HST	Early Bird - Until June 31st	Full Registration
Non-Member Pricing	\$1,751.50	\$1,921.00
CAMLI Member Pricing	\$1,666.75	\$1,836.25
Multi Registrant Pricing (3+)*	\$1,666.75	\$1,836.25
Multi-Registrant Price for CAMLI Members*	\$1,582.00	\$1,751.50

*Registered and paid at the same time

Payment Options

- ☐ Cheque payable to About Business Crime Solutions Inc.
- ☐ Please charge my/our American Express, Mastercard, Visa, or Discover account

[Register on-line via CAMLI.org](http://www.camli.org)

Total Payment Amount **			
Card Number	Exp. Date	/	
Name on Card			
Signature			

**For multiple registrants, please submit a separate registration form for each delegate from the same organization.

FAX: 613-526-9384

Phone: 613-283-2862

Email: info@moneylaundering.ca

Mail: ABCsolutions
PO Box 427
Merrickville, ON
K0G 1N0

Food Allergies

☐ YES (please specify):

Reception—October 2nd, 4:45-6:00 pm

The Monday evening reception is open to all delegates. Any delegates who would like to bring a guest to the reception can purchase an additional ticket for \$40/guest. Please identify how many guest tickets you would like in the space below and add that amount to your payment.

Will you be attending the reception?

☐ Yes

☐ No

I am requesting the following number of guest tickets to the reception:

_____ @ \$40.00 per ticket

\$_____ Total Additional Charges (includes taxes)

Workshop Selections

To ensure your experience is tailored to your needs and interests, please review the conference agenda and make your desired choice from each grouping.

Monday, October 2

Workshop 10:30 - 11:30 AM

☐ WK1 ☐ WK2

Tuesday, October 3

Workshop 1:00 - 2:00 PM

☐ WK7 ☐ WK8