



**ABOUT BUSINESS
CRIME SOLUTIONS,
INC.**

FINANCIAL ENTITY TRAINING PROGRAMS

The following is a summary of the types of training programs offered to Financial Entities by ABCsolutions.



FINANCIAL ENTITY ROLE-SPECIFIC PROGRAM

Seven role specific courses, each with its assigned modules and review sheets. This program is designed for small, medium, and large financial entities.

OUR TRAINING



**ACCESSIBLE
24/7**

Have your training program accessible whenever



**CURRENT
AND UPDATED**

Have information updated as change occurs



**CONTINUOUS
TESTING**

Test employee knowledge through randomly-generated on-line exams



**KEEP
RECORDS**

Have a record of employee scores to ensure your training program is effective.



PHONE: +613-283-2862
FAX: +613-283-7775



info@moneylaundering.ca



PO Box 427 Merrickville,
Ontario K0G 1N0 Canada

Support Staff Employees: Three modules and accompanying review sheets. Designed for employees that are non-customer facing (e.g., human resources, accounting, marketing, information technology).

Retail Banking: Six modules and accompanying review sheets. Designed for employees that work in retail banking, including deposit taking and account opening for individual customers.

Commercial Banking: Six modules and accompanying review sheets. Designed for employees that deal with deposit taking and account opening for corporate-businesses, including beneficial ownership.

CSR – Retail and Commercial: Six modules and accompanying review sheets. Designed for employees that undertake both retail and commercial account deposits, opening, and transactions for individuals and business customers.

Compliance and Internal Audit Departments: Six modules and accompanying review sheets. Very comprehensive and designed for employees that have responsibility for overseeing the AML program and risk assessments.

Board & Senior Executives: Five modules and accompanying review sheets. The main focus is on accountability and oversight risks and controls, and the basics of money laundering and terrorist financing.

Wealth Management: Four modules and accompanying review sheets. It is designed to assist Wealth Management, Investment Specialists to comply in part with the training component within their associated financial entity's compliance program.

Assignment: It is suggested for those smaller financial entities in which employees may cross several roles, assign employees to the most comprehensive role; meaning the course that covers the highest level to meet the compliance regulatory requirements. Some examples:

- An employee does support services and retail functions: assign the employee to retail banking, as it will contain information for support plus retail banking. It is not necessary to assign the employee to both.
- An employee does retail and commercial functions: assign the employee to the CSR – retail and commercial course as it contains both retail and commercial requirements.



FINANCIAL ENTITY CUSTOMIZED PROGRAMS

Develop a training program that meets the specific requirements of your organization.



PHONE: +613-283-2862
FAX: +613-283-7775



info@moneylaundering.ca



PO Box 427 Merrickville,
Ontario K0G 1N0 Canada

ADDITIONAL COURSES

Fraud: Five modules (Background, Enablers for Committing Fraud, External and Public Sector Fraud, Internal Fraud, and Managing Risk Exposures). It is designed to assist the user with an understanding of the basics of fraud, the types of fraud (external, occupational and public sector), fundamental ways to commit fraud, the main methods in which fraud impacts an organization and/or its employees, and more.

New Payment Products and Services: Two modules focusing on four categories: prepaid cards; mobile payment services; internet-based payment services; and virtual currencies, including Bitcoin. The modules define these products and services, their vulnerabilities, the risk of money laundering and terrorist financing, mitigating measures, and more.

Elder Financial Abuse: Three modules providing information to assist practitioners to recognize the importance of the application of elder financial abuse/exploitation risk controls into their risk assessment, and how to facilitate the detection, response, and reporting of these activities within their organization.



Risks Associated with Loans & Mortgages: Three modules providing information about the risks associated with loans and mortgages for money laundering and terrorist financing.

Privacy: the *Personal Information and Electronic Documents Act* (PIPEDA), *Alberta Personal Information Protection Act* (PIPA), *B.C. Personal Information Protection Act* (BCPIPA), and *Québec: an Act Respecting the Protection of Personal Information in the Private Sector*. **1 CE Credit through IAS - Advocis**

Additional Support Documents: Operational Alerts and Briefs; PEPs & HIOs obligations, Fact Sheets, Legislative Changes, Third Party Determination, Tax Havens and Creating a Secret Identity, Reasonable Measures, and more.

